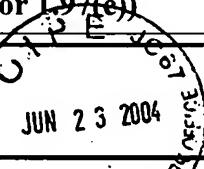


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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
9002-16

In Re Application Of: Kendall W. Prince et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/723,500	November 26, 2003	Michael E. Barr	21999	1762	8993

Title: **METHOD AND APPARATUS FOR EXTRUDING A COATING ON A SUBSTRATE SURFACE**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

06/25/2004 AWONDAF1 00000005 10723500

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180.00 OP

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
 9002.16

In Re Application: Kendall W. Prince et al.

E JC  
 JUN 23 2004

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/723,500	November 26, 2003	Michael E. Barr	21999	1762	8993

**METHOD AND APPARATUS FOR EXTRUDING A COATING ON A SUBSTRATE SURFACE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \$180.00 is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 500843 as described below.

Charge the amount of

Credit any overpayment.

Charge any additional fee required.

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I certify that this document and fee is being deposited on June 21, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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 Typed or Printed Name of Person Mailing Certificate

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 Signature

Berne S. Broadbent

Attorney for Applicants

Registration No. 30,550

KIRTON & McCONKIE

60 East South Temple, Suite 1800

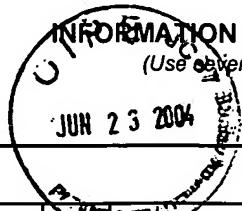
Salt Lake City, Utah 84111

Telephone: (801) 328-3600

Fascimile: (801) 321-4893

CC:

Dated: June 21, 2004


**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

ATTY DOCKET NO. 9002.16	APPLICATION NO. 10/723,500
APPLICANT(S) Kendall W. Prince et al.	
FILING DATE November 26, 2003	GROUP ART UNIT 1762

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,386,818	10/16/1945	Seavey	117	115	12/12/1942
	2,779,970	02/05/1957	Stocker	18	13	10/11/1954
	2,820,249	01/21/1958	Colombo	18	13	10/06/1953
	2,926,729	03/01/1960	Zanini	160	236	09/25/1956
	3,060,889	10/30/1962	Knapp	118	63	09/26/1960

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	25 03 354	01/1975	Germany	E 06 B	3/08		✓
	0 201 097 A2	12/1986	Europe	C08L	71/02	✓	
	0 210 297 A1	02/1987	Europe			✓	
	7117094 A	05/1995	Japan			✓	
	341533	10/1998	Taiwan				✓

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		"The Condensed Chemical Dictionary Tenth Edition"; Van Nostrand Reinhold Company (New York); 1981; pp. 2 and 90.

**EXAMINER**
**DATE CONSIDERED**

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<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		3,076,234	02/05/1963	Paulus	18	59	11/18/1957	
		3,110,625	11/12/1963	Bettner	118	125	07/21/1960	
		3,189,514	06/15/1965	Shriver et al.	161	250	06/15/1959	
		3,216,068	11/09/1965	Williams	20	69	03/30/1961	
		3,432,885	03/18/1969	Zanini	18	13	06/26/1967	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		3,568,640	03/09/1971	Kuettner	118	405	07/18/1969	
		3,595,203	07/27/1971	Febulich	118	2	08/02/1968	
		3,773,545	11/20/1973	Erb et al.	117	64 R	05/26/1971	
		3,780,154	12/18/1973	Muller et al.	264	176 R	06/15/1971	
		3,802,386	04/09/1974	Wendlandt et al.	118	323	04/14/1972	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		3,808,030	04/30/1974	Bell	117	64	03/09/1972	
		3,811,989	05/21/1974	Hearn	161	5	05/15/1972	
		3,922,128	11/25/1975	Solomon	425	113	11/11/1971	
		3,957,942	05/18/1976	Meudec	264	235	02/02/1974	
		4,004,774	01/25/1977	Houston	249	114	01/08/1975	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		4,100,243	07/11/1978	Wissinger et al.	264	171	08/04/1976	
		4,100,325	07/11/1978	Summers et al.	428	334	12/13/1976	
		4,181,764	01/01/1980	Totten	428	155	08/31/1977	
		4,204,821	05/27/1980	Gauchel et al.	425	131.1	09/24/1973	
		4,228,116	10/14/1980	Colombo et al.	264	119	07/19/1979	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
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APPLICANT(S) Kendall W. Prince et al.	
FILING DATE November 26, 2003	GROUP ART UNIT 1762

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,248,824	02/03/1981	Hattop	264	171	01/24/1979
	4,322,260	03/30/1982	Conlon	156	244.12	04/04/1979
	4,481,701	11/13/1984	Hewitt	29	416	09/15/1983
	4,515,737	05/07/1985	Karino et al.	264	22	11/23/1983
	4,581,807	04/15/1986	Adell	29	527.4	09/19/1983

**U.S. PATENT APPLICATION PUBLICATIONS**

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				FILING November 26, 2003	GROUP ART 1762			
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		4,671,913	06/09/1987	Gen et al.	264	171	08/30/1985	
		4,691,838	09/08/1987	Graham et al.	220	93	12/13/1984	
		4,798,453	01/17/1989	Tokumaru	351	41	11/23/1987	
		4,807,686	02/28/1989	Schnebly et al.	160	84.1	02/25/1987	
		4,818,590	04/04/1989	Prince et al.	428	213	06/22/1987	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
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				FILING November 26, 2003		GROUP ART 1762		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		4,884,612	12/05/1989	Schnebly et al.	160	84.1	06/20/1988	
		4,913,760	04/03/1990	Benson et al.	156	244.11	08/29/1988	
		4,928,369	05/29/1990	Schnebly et al.	29	24.5	06/07/1989	
		4,936,359	06/26/1990	Totten	144	2 R	07/07/1988	
		4,938,823	07/03/1990	Balazek et al.	156	166	10/07/1988	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
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				FILING <b>November 26, 2003</b>		GROUP ART <b>1762</b>	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,940,504	07/10/1990	Starnes, Jr.	156	500	09/06/1988
		4,942,084	07/17/1990	Prince	428	284	06/30/1988
		4,945,969	08/07/1990	Schnebly et al.	160	84.1	10/06/1988
		5,053,176	10/01/1991	Cameron et al.	264	75	11/01/1989
		5,141,041	08/25/1992	Katz et al.	160	84.1	09/20/1991
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
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							NO
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<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,169,699	12/08/1992	Prince	428	68	05/21/1990	
		5,194,209	03/16/1993	Schwaegerle	264	132	03/25/1992	
		5,209,282	05/11/1993	Franco et al.	160	236	02/14/1989	
		5,215,698	06/01/1993	Altimus	264	167	11/25/1991	
		5,318,737	06/07/1994	Trabert et al.	264	171	11/12/1992	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
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				<b>U.S. PATENT DOCUMENTS</b>				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		<b>5,326,520</b>	<b>07/05/1994</b>	<b>Franck et al.</b>	<b>264</b>	<b>171</b>	<b>04/29/1993</b>	
		<b>5,387,381</b>	<b>02/07/1995</b>	<b>Saloom</b>	<b>264</b>	<b>75</b>	<b>05/01/1991</b>	
		<b>5,406,768</b>	<b>04/18/1995</b>	<b>Giuseppe et al.</b>	<b>52</b>	<b>730.4</b>	<b>09/01/1992</b>	
		<b>5,415,822</b>	<b>05/16/1995</b>	<b>Cook</b>	<b>264</b>	<b>171</b>	<b>07/23/1993</b>	
		<b>5,449,408</b>	<b>09/12/1995</b>	<b>Koizawa et al.</b>	<b>118</b>	<b>405</b>	<b>11/25/1992</b>	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
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		5,567,505	10/22/1996	Dehennau et al.	428	188	08/14/1995	
		5,648,126	07/15/1997	Kameya et al.	427	536	11/25/1994	
		5,665,162	09/09/1997	Sasaki et al.	118	410	08/22/1995	
		5,672,303	09/30/1997	Metzger et al.	264	75	09/15/1995	
		5,680,891	10/28/1997	Prince	160	84.05	02/11/1996	
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		5,723,199	03/03/1998	Boot	428	158	01/29/1996	
		5,733,632	03/31/1998	Marusak	428	181	01/11/1996	
		5,738,814	04/14/1998	Kreth et al.	264	171.26	10/28/1994	
		5,759,680	06/02/1998	Brooks et al.	428	326	01/22/1993	
		5,773,138	06/30/1998	Seethamraju et al.	428	326	01/07/1997	
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>9002.16</b>		APPLICATION NO. <b>10/723,500</b>		
				<b>Kendall W. Prince et al.</b>				
				FILING <b>November 26, 2003</b>		GROUP ART <b>1762</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		<b>5,795,641</b>	<b>08/18/1998</b>	<b>Pauley et al.</b>	<b>428</b>	<b>134</b>	<b>11/01/1995</b>	
		<b>5,832,979</b>	<b>11/10/1998</b>	<b>Marusak</b>	<b>160</b>	<b>173 V</b>	<b>05/17/1994</b>	
		<b>5,866,054</b>	<b>02/02/1999</b>	<b>Dorchester et al.</b>	<b>264</b>	<b>75</b>	<b>11/06/1997</b>	
		<b>5,897,708</b>	<b>04/27/1999</b>	<b>Hsu</b>	<b>118</b>	<b>420</b>	<b>11/07/1997</b>	
		<b>D 409,869</b>	<b>05/18/1999</b>	<b>Marusak</b>	<b>D6</b>	<b>577</b>	<b>03/19/1997</b>	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
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				Kendall W. Prince et al.				
				FILING November 26, 2003		GROUP ART 1762		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5,957,183	09/28/1999	Prince	160	168.1 R	08/22/1997	
		6,054,207	04/25/2000	Finley	428	317.9	01/21/1998	
		6,112,800	09/05/2000	Marusak	160	298	09/08/1998	
		6,125,906	10/03/2000	Kotin	160	236	09/20/1999	
		6,174,569 B1	01/16/2001	Blomer et al.	427	410	07/14/1997	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO	
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
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				APPLICANT(S) <b>Kendall W. Prince et al.</b>				
				FILING DATE <b>November 26, 2003</b>	GROUP ART UNIT <b>1762</b>			
				<b>U.S. PATENT DOCUMENTS</b>				
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		<b>6,197,412 B1</b>	<b>03/06/2001</b>	<b>Jambois</b>	<b>428</b>	<b>297.4</b>	<b>11/04/1997</b>	
		<b>6,284,046 B1</b>	<b>09/04/2001</b>	<b>Orita et al.</b>	<b>118</b>	<b>405</b>	<b>02/05/1999</b>	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							<b>YES</b>	<b>NO</b>
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